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2190 S. PTO
10/606722
06/26/03

June 26, 2003

UTILITY PATENT APPLICATION TRANSMITTAL (new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket Number: 130436/GETS 5313.1
First Named Inventor: Kumar
Title: RAILWAY TRAIN FRICTION MANAGEMENT AND CONTROL SYSTEM
AND METHOD
Express Mail Label Number: EV 327056783 US

TO: Commissioner for Patents
Mail Stop Patent Application
P.O. Box 1450
Alexandria, VA 22313-1450

APPLICATION ELEMENTS

1. ☒ Fee Transmittal Form
(original and duplicate)
2. ☐ Applicant claims small entity status
3. ☒ Specification [Total Pages 24]
4. ☒ Drawings [Total Sheets 10]
5. Oath or Declaration [Total Pages 5]
 - a. ☒ Newly executed (original or copy)
☐ New (unexecuted)
 - b. ☐ Copy from a prior application
(for continuation/divisional with
Box 19 completed)
 - i. ☐ DELETION OF INVENTOR(s)
Signed statement attached
deleting inventor(s) named
in prior application.

6. ☐ Incorporation By Reference
(useable if Box 5b is marked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
7. ☒ Application Data Sheet
8. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
9. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

10. ☒ Assignment Papers (cover sheet & document(s))
11. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
12. ☐ English Translation Document (if applicable)
13. ☐ IDS with PTO/SB/08A ☐ Copies of IDS Citations
14. ☐ Preliminary Amendment
15. ☒ Return Receipt Postcard
16. ☐ Request and Certification for Non-Publication. Form PTO/SB/35 is attached.
17. ☐ Certified Copy of Priority Document(s) if foreign priority is claimed
18. ☐ Other: _____

**IF A CONTINUING APPLICATION, CHECK APPROPRIATE
BOXES AND SUPPLY THE REQUISITE INFORMATION**

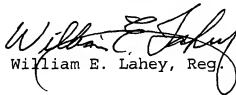
19. ☐ Continuation ☐ Divisional ☐ Continuation-in-Part
of prior application No.: _____
- ☐ Complete Application based on provisional Application
No. _____

Prior application information: Examiner:
Group Art Unit:

CORRESPONDENCE ADDRESS

20. Correspondence Address: Customer Number 321
Attention: William E. Lahey

Respectfully submitted,

A handwritten signature in black ink, appearing to read "William E. Lahey", written in a cursive style.

William E. Lahey, Reg. No. 26,757

WEL/dfw

FEE TRANSMITTAL

Application Number Not Yet Assigned
Filing Date June 26, 2003
Confirmation No. Not Yet Assigned
Inventors Kumar et al.
Attorney Docket Number 130436/GETS 5313.1

ORIGINAL

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge the indicated fees to **Deposit Account No. 50-2145.**

☒ The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17 to **Deposit Account No. 50-2145.**

☐ Applicant claims small entity status.
2. ☐ Check Enclosed. The Commissioner is hereby authorized to charge any under payment or credit any over payment to Deposit Account No. 50-2145.

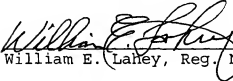
FEE CALCULATION

1. ☒ **BASIC FILING FEE** Subtotal (1) \$ 750.00
(Type: Utility)
2. ☒ **EXTRA CLAIM FEES** Subtotal (2) \$ 606.00

Total Claims 49
Independent Claims 4
Multiple Dependent Claims 00
3. ☒ **ADDITIONAL FEES** Subtotal (3) \$ 40.00

☐ Surcharge - late filing fee or oath
☐ Surcharge - late provisional filing fee or cover sheet
☐ Extension for reply within _____ month
☐ Notice of Appeal
☐ Filing a Brief in Support of an appeal
☐ Request for ex parte Reexamination
☐ Petitions to the Commissioner
☐ Submission of Information Disclosure Statement
☒ Recording each patent assignment per property
☐ Request for Continued Examination
☐ Other: _____

TOTAL AMOUNT OF PAYMENT \$ 1356.00


William E. Lahey, Reg. No. 26,757

6/26/03
Date

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